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3.	Full name, address and postcode of the or of each applicant (underline all surnames)	NOVARTIS AG LICHTSTRASS 4056 BASEL		
	OH125487 CO5 Patent ADP number (if you know it)	SWITZERLAND		
	If the applicant is a corporate body, give the country/state of its incorporation	SWITZERLANI	.	
4.	Title of invention	Organic compounds		
5.	Name of your agent (If you have one)			
	"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)	B.A. YORKE & CO. CHARTERED PATENT AGENTS COOMB HOUSE, 7 ST. JOHN'S ROAD ISLEWORTH MIDDLESEX TW7 6NH		
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Description

Claim(s)

Abstract

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07 October 2002

B.A. yarker to

B.A. Yorke & Co.

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Organic Compounds

The invention relates to a novel process for the manufacture of substituted enantiopure 10-hydroxy-dihydrodibenz/b,f/azepines by transfer hydrogenation of 10-oxo-dihydrodibenzo/b,f/azepines and to novel catalysts.

Substituted dihydrodibenz/b,f/azepines are understood to be those active agents which may be preferably used to prevent and treat some central and peripheric nervous system disorders. These compounds are well known and some of them have been used widely for the treatment of some pathological states in humans. For example, 5H-dibenz/b,f/azepine-5-carboxamide (carbamazepine) has become established as an effective agent in the management of epilepsy. An analogue of carbamazepine, 10,11-dihydro-10-oxo-5H-dibenzo/b,f/azepine-5-carbamide (oxcarbazepine, see e.g. German Patent 2.011.087) exhibits comparable antiepileptical activity with less side effects than carbamazepine. Oxcarbazepine is metabolized in mammals to 10,11-dihydro-10-hydroxy-5H-dibenzo/b,f/azepine-5-carboxamide (see e.g. Belgian Patent 747.086).

The objective of the present invention is to provide an enantioselective synthesis of substituted 10-hydroxy-dihydrodibenzo/b,f/azepines resulting in high yields and moreover guaranteeing a minimization of the ecological pollution of the environment, being economically attractive, e.g. by using less reaction steps in the reaction sequence for the manufacture of 10,11-dihydro-10-hydroxy-5H-dibenzo/b,f/azepine-5-carboxamide, and leading to largely enantiomerically pure target products and to products that are possible to crystallize. Furthermore, another objective of the present invention is to provide a process that can be carried out in a larger scale and can thus be used as production process.

Surprisingly, the process of the present invention clearly meets the above objectives.

Accordingly the present invention provides a process for the production of a compound of formula la or lb

$$R^{1}$$
 R^{2} (la), R^{1}
 R^{3}
 R^{4}
 R^{4}
 R^{4}

wherein each of R^1 and R^2 , independently, are hydrogen, halogen, amino or nitro; and each of R^3 and R^4 , independently, are hydrogen or C_1 - C_6 alkyl; which process comprises the step of reducing a compound of formula II

$$R^{1} \longrightarrow R^{2}$$

$$0 \longrightarrow R^{3}$$

$$R^{4}$$
(II)

wherein R¹, R², R³ and R⁴ are as defined above; in the presence of a hydrogen donor and a reducing agent selected from the group consisting of a compound of formula (IIIa), (IIIb), (IVa), (IVb), (Va), (Vb), (Vla) or (Vlb)

M is Ru, Rh, Ir, Fe, Co or Ni;

L₁ is hydrogen;

L2 represents an aryl or aryl-aliphatic residue;

Hal is halogen;

R⁵ is an aliphatic, cycloaliphatic, cycloaliphatic-aliphatic, aryl or aryl-aliphatic residue, which, in each case, may be linked to a polymer;

each of R⁶ and R⁷, independently, is an aliphatic, cycloaliphatic, cycloaliphatic arylor aryl-aliphatic residue;

each of R⁸ and R⁹ is phenyl or R⁸ and R⁹ form together with the carbon atom to which they are attached a cyclohexane or cyclopentane ring; and

 R^{15} is H, halogen, amino, nitro or $C_1\text{-}C_6\text{alkoxy}.$

Any aromatic residue of a compound of formula (IIIa), (IIIb), (IVa), (IVb), (Va), (Vb), (VIa) or (VIb) is unsubstituted or substituted. For compounds of formula (IVa), (IVb), (Va), (Vb), (Vla) or (VIb), there are combinations with (R)- or (S)-BINAP possible.

An aliphatic hydrocarbon residue is, for example, C_1 - C_7 alkyl, C_2 - C_7 alkenyl or secondarily C_2 - C_7 alkynyl. C_2 - C_7 Alkenyl is in particular C_3 - C_7 alkenyl and is, for example, 2-propenyl or 1-, 2-

or 3-butenyl. C_3 - C_5 alkenyl is preferred. C_2 - C_7 -Alkynyl is in particular C_3 - C_7 alkynyl and is preferably propargyl.

A cycloaliphatic residue is, for example, a C₃-C₈cycloalkyl or, secondarily, C₃-C₈cycloalkenyl. C₃-C₈Cycloalkyl is, for example, cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl and cycloheptyl. Cyclopentyl and cyclohexyl are preferred. C₃-C₈Cycloalkenyl is in particular C₃-C₇cycloalkenyl and is preferably cyclopent-2-en-yl and cyclopent-3-en-yl, or cyclohex-2-en-yl and cyclohex-3-en-yl.

A cycloaliphatic-aliphatic residue is, for example, C_3 - C_8 cycloalkyl- C_1 - C_7 alkyl, preferably C_3 - C_8 -cycloalkyl- C_1 - C_4 alkyl. Preferred is cyclopropylmethyl.

An aryl residue is, for example, a carbocyclic or heterocyclic aromatic residue, in particular phenyl or in particular an appropriate 5- or 6-membered and mono or multicyclic residue which has up to four identical or different hetero atoms, such as nitrogen, oxygen or sulfur atoms, preferably one, two, three or four nitrogen atoms, an oxygen atom or a sulfur atom. Appropriate 5-membered heteroaryl residues are, for example, monoaza-, diaza-, triaza-, tetraaza-, monooxa- or monothia-cyclic aryl radicals, such as pyrrolyl, pyrazolyl, imidazolyl, triazolyl, tetrazolyl, furyl and thienyl, while suitable appropriate 6-membered residues are in particular pyridyl. Appropriate multicyclic residues are anthracenyl, phenanthryl, benzo[1,3]-dioxole or pyrenyl. An aryl residue may be mono-substituted by e.g. NH₂, OH, SO₃H, CHO, or di-substituted by OH or CHO and SO₃H.

An aryl-aliphatic residue is in particular phenyl- C_1 - C_7 alkyl, also phenyl- C_2 - C_7 alkenyl or phenyl- C_2 - C_7 alkynyl.

Any aromatic residue is preferably unsubstituted. It may also be substituted, for example, by one or more, e.g. two or three, residues e.g. those selected from the group consisting of C₁-C₇alkyl, hydroxy, -O-CH₂-O-, CHO, C₁-C₇alkoxy, C₂-C₈alkanoyl-oxy, halogen, e.g. Cl or F, nitro, cyano, and CF₃.

Halogen represents fluorine, chlorine, bromine or iodine.

Polymers may be polystyrene (PS), cross-linked PS (J), polyethylene glycol (PEG) or a silica gel residue (Si). Examples are NH-R¹⁵ wherein R¹⁵ is C(O)(CH₂)_n-PS or C(O)NH(CH₂)_n-PS; and -O-Si(R¹⁴)₂(CH₂)_nR¹⁶ wherein n is 1 to 7, R¹⁴ is C₁-C₆alkyl, e.g. ethyl, and R¹⁶ is a PS, J, PEG or Si (obtainable by Aldrich, Switzerland).

In formula (IIIa), (IIIb), (IVa), (IVb), (Va), (Vb), (Vla) or (Vlb) the following significances are preferred independently, collectively or in any combination or sub-combination:

M is Ru, Rh, Ir, preferably Ru.

 L_2 is isopropylmethylbenzene, benzene, hexamethylbenzene, mesitylene, preferred is isopropylmethylbenzene.

R⁵ is 2- or 3- or 4-pyridyl, 4-chloro-4-phenoxy-phenyl, 4-phenoxy-phenyl, 5-di(m)ethylamino-1-naphthyl, 5-nitro-1-naphthyl, 2-, 3-, 4-nitrophenyl, 4-vinylphenyl, 4-biphenylyl, 9-anthracenyl, 2,3 or 4 hydroxyphenyl, tolyl, phenanthryl, benzo[1,3]-dioxole, dimethyl(naphthalene-1-yl)-amine, mono to tristrifluoromethylphenyl, chrysenyl, perylenyl or pyrenyl.

Each of R⁶ and R⁷, independently, are phenyl, 4-methylphenyl or 3,5-dimethylphenyl, preferred is phenyl.

Each of R⁸ and R⁹ is phenyl or cyclohexyl or substituted phenyl, preferably is phenyl. Preferred Hal is chloro.

Preferred R¹⁵ is H.

L₁ is as defined above.

A preferred hydrogen donor is, for example, a system comprising 2-propanol, 3-pentanol, or most preferably HOOCH in the presence of an amine, such as triethylamine, DBU or other tertiary amines. The hydrogen donor may also be used as inert solvent, especially 2-propanol and most preferably HCOOH. An alternative hydrogen donor is 2-propanol in the presence of various catalysts and base, e.g. $Ru[(1S,2S)-p-TsNCH(C_6H_5)CH(C_6H_5)NH](\eta^6-p-cymene)$ and base or "in situ" $[Ru(\eta^6-p-cymene)Cl_2]_2$ with chiral ligand (R,R- or S,S-TsDPEN, amino-alcohol) and base. The preferred bases are: t-BuOK, KOH or t-PrOK.

In a preferred aspect, the invention provides a process for the production of a compound of formula I'a or I'b

which process comprises the step of reducing the compound of formula II'

in the presence of a reducing agent selected from the group consisting of a compound of formula (IIIa), (IIIb), (IVa), (IVb), (Va), (Vb), (Vla) or (Vlb) as described above and a hydrogen donor.

The compounds of formula II and II' are known and may be prepared as described in WO-A2-0156992.

The invention further provides the novel compounds of formula III'a and III'b

wherein M, L_1 , L_2 , R^8 and R^9 are as defined above and $R^{5'}$ is a group of formula

n is 0, 1, 2, 3, 4, 5, 6 or 7;

X is O or S;

R¹⁰ is polystyrol;

R¹¹ is silica gel;

R¹² is cross-linked polystyrol;

R¹³ is polyethylene-glycol;

R¹⁴ is C₁-C₆alkyl; and

m is 1, 2 or 3.

The following compounds of formula (III'a) or (III'b) wherein L_1 , L_2 and $R^{5'}$ are as defined above, are preferred:

Compounds of formula (III'a) or (III'b) may be prepared by reacting a compound of formula VII

wherein R^{5} , R^{8} and R^{9} are as defined above, with $[MCl_{2}(p-cymene)]_{2}$ in conventional manner, e.g. as described for M=Ru in the Example 3.

Some compounds of formula (IIIa), (IIIb), (IVa), (IVb), (Va), (Vb), (Vla) or (VIb) are known and may be prepared as described in Haack et al., Angew. Chem., Int. Ed. Engl. 1997, 36, 285-288.

The hydrogenation described above may be carried out, for example in the absence or, customarily, in the presence of a suitable solvent or diluent or a mixture thereof, the reaction, as required, being carried out with cooling, at room temperature or with warming, for example in a temperature range from about - 80°C up to the boiling point of the reaction medium, preferably from about -10° to

about +200°C, and, if necessary, in a closed vessel, under pressure, in an inert gas atmosphere and/or under anhydrous conditions.

The hydrogenation may be carried out in a suitable inert solvent, such as an ether, e.g. tetrahydrofuran, an ester, such as ethylacetate, a halogenated solvent, such as methylenchloride, supercritical CO₂, ionic liquids, a nitrile, especially acetonitrile, an amide, such as dimethylformamide or dimethylacetamide and in a temperature range from, for example, from -78°C, to the boiling point of the solvent, preferably at room temperature, e.g. as described in the Examples.

It is known from the art that asymmetric transfer hydrogenation using a Ru (II) catalyst (esp. a Noyori catalyst) is carried out in the absence of water and under inert gas conditions. Surprisingly, the transfer hydrogenation step according to the present invention can be run in a water containing solvent system and in the absence of an inert gas. This means that the reaction is successful even though the solvent used comprised water (3 % by Karl-Fischer titration).

Optionally, the compounds of formula (I) may be converted into their corresponding pro-drug esters of formula (VIII)

$$R^{1} \longrightarrow R^{2}$$

$$Q_{2}$$

$$R^{1} \longrightarrow R^{2}$$

$$R^{4}$$

$$(VIII)$$

wherein

Y is hydrogen, unbranched or branched C_1 - C_{18} alkylcarbonyl, amino C_1 - C_{18} alkylcarbonyl, C_3 - C_8 cycloalkyl C_1 - C_{18} alkylcarbonyl, halogen C_1 - C_{18} alkylcarbonyl, unsubstituted or at the aryl substituted C_5 - C_{10} aryl C_1 - C_{18} alkylcarbonyl, unsubstituted or at the heteroaryl substituted C_5 - C_{10} heteroaryl C_1 - C_{18} alkylcarbonyl, C_1 - C_{18} alkoxycarbonyl; and and C_1 , C_2 , C_3 and C_4 are as described above (see also EP-B1-751129 for production conditions).

The following examples illustrate the invention.

Example 1: Procedure for the enantioselective Transfer Hydrogenation of 10-Oxo-10,11-dihydro-dibenzo[b,f]azepine-5-carboxylic acid amide to R(-)-10,11-Dihydro-10-hydroxy-5H-dibenz[b,f]azepine-5-carboxamide:

To a mixture of 10-Oxo-10,11-dihydro-dibenzo[b,f]azepine-5-carboxylic acid amide (300 mg, 1.189 mmol) and RuCl[(1R,2R)-p-TsNCH(C $_6$ H $_5$)CH(C $_6$ H $_5$)NH $_2$](η^6 -p-cymene, Aldrich, Switzerland) (8.8 mg, 0.0138 mmol) in CH $_2$ Cl $_2$ (15 ml) is added dropwise a premixed solution of formic acid and NEt $_3$ (5:2, 328 mg:289 mg) at 23 °C and stirred for 10 min. The clear solution is heated to reflux for 16 h. The reaction mixture is cooled to room temperature (RT), diluted with CH $_2$ Cl $_2$ (20 ml) and neutralised with aqu. NaHCO $_3$. After washing with brine the solution is concentrated under reduced pressure. The residue is purified by flash chromatography on silica gel using a 6:1 EtOAc-MeOH mixture as eluent to afford of R(-)-10,11-Dihydro-10-hydroxy-5H-dibenzo[b,f]azepine-5-carboxamide (enantiomeric purity (ee) > 99 % determined by HPLC on Chiracel OD, Retention time: 9.46 min. [α] $_0$ t = -195.3 ° (ethanol). 1 H-NMR (400 MHz, CDCl $_3$):7.70-7.20 (m, 8 H), 5.30 (br s,1 H), 5.10-4.60 (br s, 2 H), 3.75-3.40 (m, 1 H), 3.20-2.90 (m, 1 H), 2.50 (br s, 2 H). NMR-Datas refer to Lit.: Benes, J et al., *J. Med. Chem.* **1999**, *42*, 2582-2587. Molecular weight: 254.291

Example 2: Procedure for the enantioselective Transfer Hydrogenation of 10-Oxo-10,11-dihydro-dibenzo[b,f]azepine-5-carboxylic acid amide to S(+)-10,11-Dihydro-10-hydroxy-5*H*-dibenz[b,f]azepine-5-carboxamide:

To a mixture of 10-Oxo-10,11-dihydro-dibenzo[b,f]azepine-5-carboxylic acid amide (300 mg, 1.189 mmol) and RuCl[(1S,2S)-p-TsNCH(C_6H_5)CH(C_6H_5)NH $_2$](η^6 -p-cymene) (11 mg, 0.0173 mmol) in CH $_2$ Cl $_2$ (15 ml) is added in two portions a premixed solution of formic acid and NEt $_3$ (5:2, 656 mg:578 mg) at 23 °C and stirred for 10 min. After that formic acid is added (50 μ l) and the clear solution is heated to reflux for 16 h. The reaction mixture is cooled to RT, diluted with CH $_2$ Cl $_2$ (20 ml) and neutralised with aqu. NaHCO $_3$. After washing with brine the solution is concentrated under reduced pressure. The residue is purified by flash chromatography on silica gel using a 6:1 EtOAc-MeOH mixture as eluent to afford of S(+)-10,11-Dihydro-10-hydroxy-5H-dibenzo[b,f]azepine-5-carboxamide (ee > 99 % by HPLC on Chiracel OD). Retention time: 12.00 min. [α] $_D$ t = +196.6 ° (ethanol). ¹H-NMR (400 MHz, CDCl $_3$):7.70-7.20 (m, 8 H), 5.30 (br s,1 H), 5.10-4.60 (br s, 2 H), 3.75-3.40 (m, 1 H), 3.20-2.90 (m, 1 H), 2.50 (br s, 2 H). NMR-Datas refer to Lit.: Benes, J et al., J. Med. Chem. 1999, 42, 2582-2587. Molecular weight: 254.291

Alternative production: To a mixture of 10-Oxo-10,11-dihydro-dibenzo[b,f]azepine-5-carboxylic acid amide (300 mg, 1.189 mmol) and RuCl[(1S,2S)-p-dansylNCH(C_6H_5)CH(C_6H_5)NH $_2$](η^6 -p-cymene) (8.5 mg, 0.012 mmol) in CH $_2$ Cl $_2$ (15 ml) is added dropwise a premixed solution of formic acid and NEt $_3$ (5:2, 328 mg:289 mg) at 23 °C and stirred for 10 min. The clear solution is heated to reflux for 16 h. The reaction mixture is cooled to RT, diluted with CH $_2$ Cl $_2$ (20 ml) and neutralised with aqu. NaHCO $_3$. After washing with brine the solution is concentrated under reduced pressure. The residue is purified by flash chromatography on silica gel using a 6:1 EtOAc-MeOH mixture as eluent to afford of S(+)-10,11-Dihydro-10-hydroxy-5H-dibenzo[b,f]azepine-5-carboxamide.

Example 3: Preparation of RuCl[(1S,2S)-p-dansylNCH(C₆H₅)CH(C₆H₅)NH₂](η⁵-p-cymene) a) Preparation of (S,S)-5-dimethylamino-naphthalene-1-sulfonic acid (2-amino-1,2-diphenylethyl)-amide: To a solution of (S,S)-diphenylethylenediamine (250 mg, 1.2 mmol) and triethylamine (0.5 ml) in THF is added dropwise a solution of dansyl chloride (318 mg, 1.2 mmol) in THF (2 ml) at 0°C. After stirring 16 h at RT the solvent is removed in vacuum and the residue is resolved in methylenchloride (20 ml). The organic solution is washed with NaHCO₃ solution (5 ml), dried over Na₂SO₄ and after filtration the solvent is removed. Flash chromatographie afford (S,S)-5-dimethylamino-naphthalene-1-sulfonic acid (2-amino-1,2diphenyl-ethyl)-amide as yellow oil which crystallizes by drying in vacuum. M: 445.59. ¹H-NMR (400 MHz, CDCl₃):8.36 (t, J = 7.5 Hz, 2 H), 8.17 (dd, J = 7.2, 1.2 Hz, 1 H), 7.47 (dd, J =8.8 Hz, 1 H), 7.34 (dd, J = 8.5 Hz, 1 H), 7.24-7.16 (m, 4 H), 7.11 (d, J = 7.5 Hz, 1 H), 6.99-6.74 (m, 6 H), 4.61 (d, J = 8.5 Hz, 1 H), 4.20 (d, J = 8.5 Hz, 1 H), 2.80 (s, 6 H). b) Preparation of RuCl[(1S,2S)-p-dansylNCH(C₆H₅)CH(C₆H₅)NH₂](η⁶-p-cymene): A solution of (S,S)-5-dimethylamino-naphthalene-1-sulfonic acid (2-amino-1,2-diphenyl-ethyl)-amide (80mg, 0.18 mmol), NEt₃ (36 mg, 0.36 mmol) and [RuCl₂(p-cymene)]₂ (55 mg, 0.09mmol) in 2-propanol is heated at 80°C for 1 h. The solvent is removed after that und the dark red residue is washed with water (2 ml). The solid is dried in vacuum and used without any purification. M: 715.34.

Claims:

1. A process for the production of a compound of formula la or lb

$$R^{1}$$
 R^{2} (la), R^{1}
 R^{3}
 R^{4}
 R^{4}
 R^{2} (lb)

wherein each of R^1 and R^2 , independently, are hydrogen, halogen, amino or nitro; and each of R^3 and R^4 , independently, are hydrogen or C_1 - C_6 alkyl; which process comprises the step of reducing a compound of formula II

$$R^{1} \longrightarrow R^{2}$$

$$0$$

$$0$$

$$R^{3}$$

$$R^{4}$$
(II)

wherein R¹, R², R³ and R⁴ are as defined above; in the presence of a hydrogen donor and a reducing agent selected from the group consisting of a compound of formula (IIIa), (IIIb), (IVa), (IVb), (Va), (Vb), (Vla) or (Vlb)

M is Ru, Rh, Ir, Fe, Co or Ni;

L₁ is hydrogen;

L2 represents an aryl or aryl-aliphatic residue;

Hal is halogen;

R⁵ is an aliphatic, cycloaliphatic, cycloaliphatic-aliphatic, aryl or aryl-aliphatic residue, which, in each case, may be linked to a polymer;

each of R⁸ and R⁷, independently, is an aliphatic, cycloaliphatic, cycloaliphatic-aliphatic, aryl or aryl-aliphatic residue;

each of R^8 and R^9 is phenyl or R^8 and R^9 form together with the carbon atom to which they are attached a cyclohexyen or cyclopenten ring; and

 R^{15} is H, alkyl, halogen, amino, dialkylamino, nitro or $C_1\text{--}C_6\text{alkoxy}.$

2. The process according to claim 1 for the production of a compound of formula I'a and I'b

- 3. The process according to claim 1 whereas the transfer hydrogenation step takes place in a water containing solvent system.
- 4. The process according to claim 3 whereas the transfer hydrogenation step takes place in the absence of an inert gas.
- 5. A compound of formula III'a and III'b

wherein M, L_1 , L_2 , R^8 and R^9 are as defined above and $R^{5'}$ is a group of formula

n is 0, 1, 2, 3, 4, 5, 6 or 7;

X is O or S;

R¹⁰ is polystyrol;

R¹¹ is silica gel;

R¹² is cross-linked polystyrol;

R¹³ is polyethylene-glycol;

R¹⁴ is C₁-C₆alkyl; and

m is 1, 2 or 3.

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